# **Graded Identities of Some Simple Lie Superalgebras**

Dušan Repovš · Mikhail Zaicev

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**Abstract** We study  $\mathbb{Z}_2$ -graded identities of Lie superalgebras of the type  $b(t), t \ge 2$ , over a field of characteristic zero. Our main result is that the *n*-th codimension is strictly less than  $(\dim b(t))^n$  asymptotically. As a consequence we obtain an upper bound for ordinary (non-graded) PI-exponent for each simple Lie superalgebra  $b(t), t \ge 3$ .

**Keywords** Polynomial identity · Lie superalgebra · Codimensions · Exponential growth · Fractional PI-exponent

**Mathematics Subject Classifications (2010)** Primary 17B01, 16P90; Secondary 16R10

# **1** Introduction

In this paper we study numerical invariants of identities of Lie superalgebras. One of the main numerical characteristics of the identities of an algebra A over a field F of characteristic zero is the sequence of codimensions  $\{c_n(A)\}, n = 1, 2, ...,$  and

D. Repovš (⊠)

M. Zaicev

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Faculty of Education, and Faculty of Mathematics and Physics, University of Ljubljana, P. O. B. 2964, Ljubljana 1001, Slovenia e-mail: dusan.repovs@guest.arnes.si

Department of Algebra, Faculty of Mathematics and Mechanics, Moscow State University, Moscow 119992, Russia e-mail: zaicevmv@mail.ru

its asymptotic behaviour. Many deep and interesting results in this area were proved during the last few decades (see, for example, [1]) both in the associative and the nonassociative cases. In particular, in many classes of algebras (associative [2], Lie [3–5], Jordan, alternative and some others [6]) it was proved that if A is a finite dimensional algebra, dim A = d, and F is algebraically closed then PI-exponent exp(A) is equal to d if and only if A is simple. In general  $exp(A) \leq \dim A$  as it was observed in [7, 8]. Recently (see [9]) it was shown that  $exp(L) < \dim L$  provided that L is a simple Lie superalgebra of the type  $b(t), t \geq 3$ , (we use notations from [10] for simple Lie superalgebras). Unfortunately, an upper bound  $\alpha = \alpha(t) < \dim b(t)$  was not found for exp(b(t)) in [9].

Since any Lie superalgebra L is  $\mathbb{Z}_2$ -graded, one can consider graded codimensions  $c_n^{gr}(L)$  and graded PI-exponent  $exp^{gr}(L)$ . Graded codimensions and graded PI-exponents of Lie superalgebras were studied earlier in several papers (see, for example, [11–13]). Existence and integrality of graded exponents were proved for some classes of Lie superalgebras. On the other hand, there are no known examples where  $exp^{gr}(L)$  is fractional.

There are some relations between graded and non-graded identities, codimensions and PI-exponents. In particular,

$$c_n(A) \le c_n^{gr}(A) \tag{1}$$

(see [14] or [7]) for any finite dimensional *G*-graded algebra *A* where *G* is a finite group. Hence when *A* is finite dimensional and simple and  $exp(A) = \dim A$  it follows from Eq. 1 and [7] that  $exp^{gr}(A)$  exists and is equal to dim *A*.

First series of examples with  $exp(A) \neq \dim A$  where A is a finite dimensional simple algebra is given by simple Lie superalgebras  $b(t), t \ge 3$ , of the dimension  $\dim b(t) = 2t^2 - 1$  [9]. It is important to study asymptotics of  $c_n^{gr}(b(t))$  and to compare it with the asymptotics of  $c_n(b(t))$ . The main result of this paper says that the (upper) graded PI-exponent of b(t) is less than or equal to  $t^2 - 1 + t\sqrt{t^2 - 1}$ . As a consequence of this result and Eq. 1 we obtain an upper bound for ordinary PI-exponent of  $b(t), exp(b(t)) \le t^2 - 1 + t\sqrt{t^2 - 1}$ . In particular, the difference dim b(t) - exp(b(t)) is at leasi  $t^2 - t\sqrt{t^2 - 1}$  which is a decreasing function of t with limit  $\frac{1}{2}$ .

## 2 Preliminaries

Let A be an algebra over a field F of characteristic zero. Recall that A is said to be  $\mathbb{Z}_2$ -graded algebra if A has a vector space decomposition  $A = A_0 \oplus A_1$  such that  $A_0A_0 + A_1A_1 \subseteq A_0$ ,  $A_0A_1 + A_1A_0 \subseteq A_1$ . Usually elements of  $A_0$  are called even while elements of  $A_1$  are called odd. Any element of  $A_0 \cup A_1$  is called homogeneous. In particular, a Lie superalgebra L is a  $\mathbb{Z}_2$ -graded algebra  $L = L_0 \oplus L_1$  satisfying the following two relations

$$xy - (-1)^{|x||y|} yx = 0,$$

$$x(yz) = (xy)z + (-1)^{|x||y|}y(xz)$$

where x, y, z are homogeneous elements and |x| = 0 if x is even while |x| = 1 if x is odd.

Denote by  $\mathcal{L}(X, Y)$  a free Lie superalgebra with infinite sets of even generators X and odd generators Y. A polynomial  $f = f(x_1, \ldots, x_m, y_1, \ldots, y_n) \in \mathcal{L}(X, Y)$  is said to be a graded identity of Lie superalgebra  $L = L_0 \oplus L_1$  if  $f(a_1, \ldots, a_m, b_1, \ldots, b_n) = 0$  whenever  $a_1, \ldots, a_m \in L_0, b_1, \ldots, b_n \in L_1$ .

Given positive integers  $0 \le k \le n$ , denote by  $P_{k,n-k}$  the subspace of all multilinear polynomials  $f = f(x_1, \ldots, x_k, y_1, \ldots, y_{n-k}) \in \mathcal{L}(X, Y)$  of degree k in even variables and of degree n - k in odd variables. Denote by  $Id^{gr}(L)$  an ideal of  $\mathcal{L}(X, Y)$  of all graded identities of L. Then  $P_{k,n-k} \cap Id^{gr}(L)$  is the subspace of all multilinear graded identities of L of total degree n depending on k even variables and n - k odd variables. Denote also by  $P_{k,n-k}(L)$  the quotient

$$P_{k,n-k}(L) = \frac{P_{k,n-k}}{P_{k,n-k} \cap Id^{gr}(L)}$$

Then the graded (k, n - k)-codimension of L is

$$c_{k,n-k}(L) = \dim P_{k,n-k}(L)$$

and the total graded codimension of L is

$$c_n^{gr}(L) = \sum_{k=0}^n \binom{n}{k} c_{k,n-k}(L).$$
 (2)

If the sequence  $\{c_n^{gr}(L)\}_{n\geq 1}$  is exponentially bounded then one can consider the related bounded sequence  $\sqrt[n]{c_n^{gr}(L)}$ . The latter sequence has the following lower and upper limits

$$\underline{exp}^{gr}(L) = \liminf_{n \to \infty} \sqrt[n]{c_n^{gr}(L)}, \qquad \overline{exp}^{gr}(L) = \limsup_{n \to \infty} \sqrt[n]{c_n^{gr}(L)}$$

called the lower and upper PI-exponents of L, respectively. If an ordinary limit exists, it is called an (ordinary) graded PI-exponent of L,

$$exp^{gr}(L) = \lim_{n \to \infty} \sqrt[n]{c_n^{gr}(L)}$$

Symmetric groups and their representations play an important role in the theory of codimensions. In particular, in the case of graded identities one can consider the  $S_k \times S_{n-k}$ -action on multilinear graded polynomials. Namely, the subspace  $P_{k,n-k} \subseteq \mathcal{L}(X, Y)$  has a natural structure of  $S_k \times S_{n-k}$ - module where  $S_k$  acts on even variables  $x_1, \ldots, x_k$  while  $S_{n-k}$  acts on odd variables  $y_1, \ldots, y_{n-k}$ . Clearly,  $P_{k,n-k} \cap Id^{gr}(L)$  is the submodule under this action and we get an induced  $S_k \times S_{n-k}$ -action on  $P_{k,n-k}(L)$ . The character  $\chi_{k,n-k}(L) = \chi(P_{k,n-k}(L))$  is called (k, n-k) cocharacter of L. By Maschke's Theorem this character can be decomposed into the sum of irreducible characters

$$\chi_{k,n-k}(L) = \sum_{\lambda \vdash k \atop \mu \vdash n-k} m_{\lambda,\mu} \chi_{\lambda,\mu}$$
(3)

where  $\lambda$  and  $\mu$  are partitions of k and n - k, respectively (all details concerning representations of symmetric groups can be found in [15]).

Recall that an irreducible  $S_k \times S_{n-k}$ -module with the character  $\chi_{\lambda,\mu}$  is the tensor product of  $S_k$ -module with the character  $\chi_{\lambda}$  and  $S_{n-k}$ -module with the character

 $\chi_{\mu}$ . In particular, the dimension deg  $\chi_{\lambda,\mu}$  of this module is the product  $d_{\lambda}d_{\mu}$  where  $d_{\lambda} = \deg \chi_{\lambda}, d_{\mu} = \deg \chi_{\mu}$ . Taking into account multiplicities  $m_{\lambda,\mu}$  in Eq. 3 we get the relation

$$c_{k,n-k}(L) = \sum_{\lambda \vdash k \atop \mu \vdash n-k} m_{\lambda,\mu} d_{\lambda} d_{\mu}.$$
 (4)

A number of irreducible components in the decomposition of  $\chi_{k,n-k}(L)$ , i.e. the sum

$$l_{k,n-k}(L) = \sum_{\lambda \vdash k \atop \mu \vdash n-k} m_{\lambda,\mu}$$

is called the (k, n - k)-colength of L. If dim  $L < \infty$  then by Ado Theorem (see [10, Theorem 1.4.1]), L has a faithful finite dimensional graded representation. Hence L has an embedding  $L \subset A = A_0 \oplus A_1$  as a Lie superalgebra where A is a finite dimensional associative superalgebra. Given  $0 \le k \le n$ , consider the graded (k, n - k)-cocharacter of A:

$$\chi_{k,n-k}(A) = \sum_{\lambda \vdash k \atop \mu \vdash n-k} \overline{m}_{\lambda,\mu} \chi_{\lambda,\mu}.$$

Then by [16],

$$\sum_{k=0}^n \sum_{\lambda \vdash k top \mu \vdash n-k} \overline{m}_{\lambda,\mu} \leq q(n)$$

for some polynomial q(n). Following the argument of the proof of [3, Lemma 3.2] we obtain that

$$m_{\lambda,\mu} \leq \overline{m}_{\lambda,\mu}.$$

Hence in the finite dimensional case the total colength is polynomially bounded, that is, for any L, dim  $L < \infty$ , there exists a polynomial f(n) such that

$$\sum_{k=0}^{n} l_{k,n-k}(L) \le f(n).$$

It follows that

$$c_{k,n-k}(L) \le f(n)d_{\lambda}^{\max}d_{\mu}^{\max}$$
(5)

where  $d_{\lambda}^{\max}$ ,  $d_{\mu}^{\max}$  are maximal possible dimensions of  $S_k$ - and  $S_{n-k}$ -representations, respectively, such that  $m_{\lambda,\mu} \neq 0$ . We will use relation (5) for finding an upper bound for  $\overline{exp}^{gr}(L)$ .

## **3** Dimensions of some *S<sub>m</sub>*-Representations

In this section we prove some technical results which we will use later. Fix an integer  $t \ge 2$  and consider an irreducible  $S_m$ -representation with the character  $\chi_{\mu}$ ,  $\mu = (\mu_1, \ldots, \mu_d)$ ,  $d \le t^2$ . For convenience we will write  $\mu = (\mu_1, \ldots, \mu_{t^2})$  even in the case  $d < t^2$  assuming  $\mu_{d+1} = \ldots = \mu_{t^2} = 0$ .

We define the following function of a partition  $\mu \vdash m$ 

$$\Phi(\mu) = \frac{1}{\left(\frac{\mu_1}{m}\right)^{\frac{\mu_1}{m}} \cdots \left(\frac{\mu_{\ell^2}}{m}\right)^{\frac{\mu_{\ell^2}}{m}}}$$
(6)

In Eq. 6 we assume that  $0^0 = 1$  if some of  $\mu_j$  are equal to zero. The value of  $\Phi(\mu)^m$  is equal to  $d_{\mu}$  up to a polynomial factor. More precisely, we have the following relation:

**Lemma 1** [9, Lemma 1] Let  $m \ge 100$ . Then

$$\frac{\Phi(\mu)^m}{m^{t^4+t^2}} \le d_\mu \le m\Phi(\mu)^m.$$

Now let  $\lambda$  and  $\mu$  be two partitions of *m* with the corresponding Young diagrams  $D_{\lambda}$ ,  $D_{\mu}$ . We say that  $D_{\mu}$  is obtained from  $D_{\lambda}$  by pushing down one box if there exist  $1 \le i < j \le t^2$  such that  $\mu_i = \lambda_i - 1$ ,  $\mu_j = \lambda_j + 1$  and  $\mu_k = \lambda_k$  for all remaining *k*.

**Lemma 2** (see [9, Lemma 3], [17, Lemma 2]) Let  $D_{\mu}$  be obtained from  $D_{\lambda}$  by pushing down one box. Then  $\Phi(\mu) \ge \Phi(\lambda)$ .

Now we define the weight of partition  $\mu = (\mu_1, \dots, \mu_{t^2})$  as follows:

$$wt \ \mu = -\left(\mu_1 + \dots + \mu_{\frac{t^2 - t}{2}}\right) + \left(\mu_{\frac{t^2 - t}{2} + 1} + \dots + \mu_{t^2}\right)$$

Recall (see [1]) that the hook partition h(d, l, k) is a partition with the Young diagram of the shape



Here the first *d* rows have length l + k and remaining *k* rows have length *l*. We slightly modify this notion and say that a partition  $\mu = (\mu_1, \ldots, \mu_{t^2}) \vdash m$  is a hook h(s, r) if  $\mu_1 = \ldots = \mu_{\frac{t^2-t}{2}} = s$  and  $\mu_{\frac{t^2-t}{2}+1} = \cdots = \mu_{t^2} = r < s$ .

The following observation is elementary.

**Lemma 3** Let *m* be a multiple of  $t(t^2 - 1)$ . Then there exists a hook partition  $\mu = h(s, r)$  of *m* with  $s = r\frac{t+1}{t-1}$  and wt  $\mu = 0$ .

*Proof* Let  $m = it(t^2 - 1)$ . If we take  $\mu = h(r, s)$  with s = (t + 1)i, r = (t - 1)i then the number of boxes in the first  $\frac{t^2 - t}{2}$  rows, that is  $\mu_1 + \dots + \mu_{\frac{t^2 - t}{2}}$ , equals to

$$s\frac{t^2-t}{2} = it\frac{(t-1)(t+1)}{2} = \frac{m}{2}$$

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Similarly, the number of boxes in all remaining rows of  $D_{\mu}$  equals to

$$r\frac{t^2+t}{2} = it\frac{(t-1)(t+1)}{2} = \frac{m}{2}$$

Hence  $wt \mu = 0$  and we are done.

**Lemma 4** Let *m* be a multiple of  $t(t^2 - 1)$  and let  $\mu = h(s, r)$  be the hook partition with zero weight as in Lemma 3. Then  $\Phi(\mu) = t\sqrt{t^2 - 1}$ .

Proof Since

$$\frac{\mu_1}{m} = \dots = \frac{\mu_{\frac{t^2-t}{2}}}{m} = \frac{s}{m}, \qquad \frac{\mu_{\frac{t^2-t}{2}+1}}{m} = \dots = \frac{\mu_{t^2}}{m} = \frac{r}{m}$$

and  $m = rt(t + 1), s = r\frac{t+1}{t-1}$ , we have

$$\frac{r}{m} = \frac{1}{t(t+1)}, \qquad \frac{s}{m} = \frac{1}{t(t-1)},$$

Hence

$$\Phi(\mu) = \frac{1}{\left(\frac{1}{t(t+1)}\right)^{\frac{t^2+t}{2t(t+1)}} \left(\frac{1}{t(t-1)}\right)^{\frac{t^2-t}{2t(t-1)}}} = \left(t^2(t+1)(t-1)\right)^{\frac{1}{2}} = t\sqrt{t^2-1}.$$

For an arbitrary partition of weight zero we have the following.

**Lemma 5** Let *m* be a multiple of  $t(t^2 - 1)$  and let v be a partition of *m* with wt v = 0. Then  $\Phi(v) \le t\sqrt{t^2 - 1}$ .

*Proof* The Young diagram  $D_{\nu}$  of  $\nu$  consists of two parts. The first one  $\overline{\nu}$  contains first  $\frac{t^2-t}{2}$  rows and the second part  $\overline{\nu}$  contains all remaining rows. Pushing down boxes inside  $\overline{\nu}$  and  $\overline{\nu}$  separately we get new partition  $\nu' \vdash m$  with  $\omega t \nu' = 0$  maximally close to hook partition. That is, first  $0 < i \le \frac{t^2-t}{2}$  rows of  $D_{\nu'}$  have the length a and rows  $i+1, \ldots, \frac{t^2-t}{2}$  (in case  $i < \frac{t^2-t}{2}$ ) have the length a - 1. Similarly,

$$v'_{\frac{t^2-t}{2}+1} = \dots = v'_{\frac{t^2-t}{2}+j} = b, \quad v'_{\frac{t^2-t}{2}+j+1} = \dots = v'_{t^2} = b-1$$

for some *j*. But under our assumption *m* admits a hook partition by Lemma 3, hence  $\frac{m}{2}$  is a multiple of  $\frac{t^2-t}{2}$ . It follows that  $i = \frac{t^2-t}{2}$ . Similarly,  $j = \frac{t^2+t}{2}$  and v' = h(a, b). Finally note that if *m* admits a hook partition  $\mu = h(r, s)$  of weight zero then  $\mu$  is uniquely defined. Hence  $a = b \frac{t+1}{t-1}$  and  $\Phi(v') = t\sqrt{t^2 - 1}$  by Lemma 4. By applying Lemma 2 we complete the proof.

The main goal of this section is to get a similar upper bound for  $\Phi(\mu)$  for any  $\mu \vdash m$  without any restriction on *m* and with  $wt(\mu) \leq 1$ .

First we prove an easy technical result.

**Lemma 6** Let  $\lambda = (\lambda_1, ..., \lambda_s)$  be a partition of n such that  $\lambda_1 - \lambda_s \ge 2s$ . Then by pushing down one or more boxes in  $D_{\lambda}$  one can get a partition  $\mu = (\mu_1, ..., \mu_s) \vdash n$  with  $\mu_s = \lambda_s + 1$  and  $\mu_1 > \lambda_1 - s$ . Similarly, one can get  $\nu = (\nu_1, ..., \nu_s) \vdash n$  with  $\nu_1 = \lambda_1 - 1$  and  $\nu_s < \lambda_s + s$ .

*Proof* First we find  $\mu$ . If s = 2 then the statement is obvious. Suppose s > 2. Then we push down boxes in  $D_{\lambda}$  using only rows 2, 3, ..., s. If we get on some step the diagram  $D_{\mu}$  with  $\mu_s = \lambda_s + 1$  then we proof is completed. Otherwise we will get a diagram  $D_{\bar{\mu}}$  where  $\bar{\mu}_1 = \lambda_1$ ,  $\bar{\mu}_2 = \cdots = \bar{\mu}_t = p + 1$ ,  $\bar{\mu}_{t+1} = \cdots = \bar{\mu}_s = p$  for some pand some  $2 \le t \le s$ . Moreover,  $p = \lambda_s$  if t < s or  $p + 1 = \lambda_s$  if t = s. In this case we can cut s - 1 boxes from the first row of  $D_{\bar{\mu}}$  and the glue one box to each row 2, ..., s in  $D_{\bar{\mu}}$ . Then the partition  $\mu = (\mu_1, \ldots, \mu_s)$ ,  $\mu_1 = \bar{\mu}_1 - s + 1$ ,  $\mu_j = \bar{\mu}_j + 1$ ,  $j = 2, \ldots, s$ , satisfies all conditions and we are done.

Similarly, if we push down boxes only in rows  $1, \ldots, s-1$  in  $D_{\lambda}$  then either we will get a partition  $\nu = (\nu_1, \ldots, \nu_s)$  with  $\nu_1 = \lambda_1 - 1$ ,  $\nu_s = \lambda_s$  on some step or we will get a partition  $\bar{\nu} = (\bar{\nu}_1, \ldots, \bar{\nu}_s)$  such that  $\bar{\nu}_1 = \cdots = \bar{\nu}_t = p + 1$ ,  $\bar{\nu}_{t+1} = \cdots = \bar{\nu}_{s-1} = p$ ,  $\bar{\nu}_s = \lambda_s$  for some  $1 \le t \le s - 1$ . In the latter case we push down one box from each row  $1, \ldots, s - 1$  to the last row of  $D_{\bar{\nu}}$ . Then we get the required  $\nu \vdash n$  and the proof is completed.

Now we consider partitions with  $t^2$  components whose weight cannot be increased by pushing down boxes in the Young diagram.

**Lemma 7** Let  $\mu = (\mu_1, ..., \mu_{t^2})$  be a partition whose weight cannot be increased by pushing down boxes. Then  $\mu_1 - \mu_{t^2} \le 4t^2$  and  $wt(\mu) \ge -2t^4$ .

*Proof* Denote  $p = \frac{t^2+t}{2}$ ,  $q = \frac{t^2-t}{2}$  for brevity. Clearly,  $\mu_q \le \mu_{q+1} + 1$ . If  $\mu_1 - \mu_q \ge 3q$  then by pushing down boxes we can get a partition  $\mu' = (\mu'_1, \dots, \mu'_q)$  with  $\mu'_q = \mu_q + 2$  by Lemma 6. Hence  $\mu_1 - \mu_q < 3q$ . Similarly, we can get  $(\mu''_{q+1}, \dots, \mu''_{q+p})$  with  $\mu''_{q+1} = \mu_{q+1} - 2$  provided that  $\mu_{q+1} - \mu_{q+p} \ge 3p$ . Therefore  $\mu_{q+1} - \mu_{q+p} < 3p$ . Finally we obtain

$$\mu_1 - \mu_{q+p} < 3p + 3q + 1 = 3t^2 + 1 < 4t^2.$$

For proving the second part of our lemma we split  $D_{\mu}$  into two parts  $D_1$  and  $D_2$ where  $D_1$  consists of the first q rows of  $D_{\mu}$  while  $D_2$  consists of the last p rows of  $D_{\mu}$ . By our assumption we cannot cut one box from  $D_1$  and glue it to  $D_2$ . Denote by a and b the number of boxes in  $D_1$ ,  $D_2$ , respectively. Denote also  $\mu_{p+q} = x$ . By the first part of the lemma  $\mu_1 \le 4t^2 + x$ . Hence  $a \le (4t^2 + x)q$ . Obviously,  $b \ge px$ . Hence

$$wt(\mu) = b - a \ge x(p - q) - 4t^2q \ge -4t^2\frac{t^2 - t}{2} \ge -2t^4$$

and we complete the proof.

Next lemma shows how to reduce this problem to the case  $wt \ \mu = 0$  and  $m = jt(t^2 - 1)$ .

**Lemma 8** Let  $\mu = (\mu_1, ..., \mu_{l^2})$  be a partition of m and let  $wt \mu \le 1$ . Then there exist an integer  $m_0 \ge m$  and a partition  $v \vdash m_0$  such that

(1)  $m_0 - m \le 6t^6$ , (2) wt v = 0, (3)  $m_0$  is a multiple of  $t^2(t-1)$ , (4)

$$\Phi(\mu) \le (m + 6t^6)^{\left(\frac{t^4 + t^2 + 2}{m}\right)^{6t^6}} \Phi(\nu)$$

*Proof* First we reduce the question to the case  $wt \mu = 0$ . If  $wt \mu = 1$  then we can add one extra box to the first row of  $D_{\mu}$  and get a partition of zero weight.

Let  $wt(\mu) < 0$ . By Lemmas 2 and 7 we can suppose that  $wt(\mu) \ge -2t^4$ . If we add one box to each of rows  $1, 2, ..., t^2 - t + 1$  of  $D_{\mu}$  we get the Young diagram  $D_{\rho}$ of partition  $\rho \vdash m + t^2 - t + 1$  with  $wt(\rho) = wt(\mu) + 1$ . Applying this procedure at most  $2t^4$  times we get  $\rho' \vdash m'_0$  with  $wt(\rho') = 0$  where

$$m'_0 \le m + (t^2 - t + 1) \cdot 2t^4 \le m + 4t^6.$$

If  $m'_0$  is a multiple of  $t(t^2 - 1)$  then there is nothing to do. Otherwise there exists  $0 < i < t(t^2 - 1)$  such that  $m'_0 + i$  is a multiple of  $t(t^2 - 1)$ . Note that  $m'_0$  is even since it admits a partition of weight zero. Hence *i* is also even.

First we enlarge  $D_{\rho'}$  to  $D_{\mu'}$  by adding  $\frac{t^2-1}{2}$  boxes to all  $t^2 - t$  first rows. Then also  $wt \ \mu' = 0$ . Since  $\mu'_{t^2-t} - \mu'_{t^2-t+1} \ge \frac{t^2-1}{2}$ , we can glue  $\frac{i}{2} < t\frac{t^2-1}{2}$  boxes to the last *t* rows of  $D_{\mu'}$  and get  $D_{\mu''}$ . Finally, we glue  $\frac{i}{2}$  boxes to the first row of  $D_{\mu''}$  and obtain the diagram  $D_{\nu}$  such that  $wt \ \nu = 0$ . Denote by  $m_0$  the number of boxes of  $D_{\nu}$ . As follows from our procedure, an upper bound for  $m_0$  is

$$m + 4t^{6} + (t^{2} - t)\frac{t^{2} - 1}{2} + t(t^{2} - 1) < 6t^{6} + m.$$

It is shown in [17, Lemma 7] that if  $\lambda \vdash n - 1, \lambda = (\lambda_1, \dots, \lambda_d), \lambda' \vdash n, \lambda' = (\lambda'_1, \dots, \lambda'_d)$  and  $D_{\lambda}$  is obtained from  $D_{\lambda'}$  by cutting one box then

$$\Phi(\lambda) \leq n^{\frac{d^2+d+2}{n}} \Phi(\lambda').$$

Hence

$$\Phi(\mu) \le (m + 6t^6)^{(\frac{t^4 + t^2 + 2}{m})^{6t^6}} \Phi(\nu)$$

and we complete the proof.

As a corollary of Lemmas 5 and 8 we immediately obtain

**Lemma 9** Let  $\mu = (\mu_1, ..., \mu_{t^2})$  be a partition of *m* and let  $wt \mu \le 1$ . Then there exists a polynomial g(m) such that  $\Phi(\mu) \le g(m)^{\frac{1}{m}} t \sqrt{t^2 - 1}$ .

#### 4 Graded Codimensions of Lie Superalgebras of Type b(t)

In this section we use notations from [10]. Recall that  $L = b(t), t \ge 2$ , is a Lie superalgebra of  $2t \times 2t$  matrices of the type

$$\begin{pmatrix} A & B \\ C & -A^T \end{pmatrix},$$

where  $A, B, C \in M_t(F), B^T = B, C^T = -C$  and trA = 0. Here the map  $X \to X^T$  is the transpose involution. Decomposition  $L = L_0 \oplus L_1$  is defined by setting

$$L_0 = \left\{ \begin{pmatrix} A & 0 \\ 0 & -A^T \end{pmatrix} \mid A \in M_t(F), tr(A) = 0 \right\},\$$

and

$$L_1 = \left\{ \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix} \mid B^T = B, C^T = -C \in M_t(F) \right\}.$$

Super-Lie product on L is given by

$$[x, y] = xy - (-1)^{|x||y|} yx$$

for homogeneous  $x, y \in L_0 \cup L_1$ .

It is not difficult to see that also L has  $\mathbb{Z}$ -grading

$$L = L^{(-1)} \oplus L^{(0)} \oplus L^{(1)}$$
(7)

where  $L^{(0)} = L_0$ ,

$$L^{(-1)} = \left\{ \begin{pmatrix} 0 & 0 \\ C & 0 \end{pmatrix} \mid C^{T} = -C \in M_{t}(F) \right\},$$
(8)

$$L^{(1)} = \left\{ \begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix} \mid B^T = B \in M_t(F) \right\}$$
(9)

and  $L^{(n)} = 0$  for all  $n \neq 0, \pm 1$ . In particular,  $L^{(-1)} \oplus L^{(1)} = L_1$  and dim  $L^{(0)} = t^2 - 1$ , dim  $L^{(-1)} = \frac{t(t-1)}{2}$ , dim  $L^{(1)} = \frac{t(t+1)}{2}$ .

Let  $\chi_{k,n-k}(L)$  be (k, n-k)-cocharacter of L. Consider its decomposition (3) into irreducible components.

**Lemma 10** Let  $m_{\lambda,\mu} \neq 0$  in Eq. 3. Then  $D_{\lambda}$  lies in the strip of width  $t^2 - 1$ , that is,  $\lambda = (\lambda_1, \ldots, \lambda_d)$  with  $d \leq t^2 - 1$ . In particular,  $d_{\lambda} \leq \alpha(k)(t^2 - 1)^k$  for some polynomial  $\alpha(k)$ .

*Proof* Denote  $A = FS_k$ . Recall that, given a partition  $\lambda = (\lambda_1, \dots, \lambda_d) \vdash k$ , the irreducible  $S_k$ -module corresponding to  $\lambda$  is isomorphic to the minimal left ideal generated by an essential idempotent  $e_{T_{\lambda}}$  constructed in the following way.

Let  $T_{\lambda}$  be Young tableau, that is Young diagram  $D_{\lambda}$  filled up by integers  $1, \ldots, k$ . Denote by  $R_{T_{\lambda}}$  and  $C_{T_{\lambda}}$  row and column stabilizers in  $S_k$  of  $T_{\lambda}$ , respectively. Then

$$R(T_{\lambda}) = \sum_{\sigma \in R_{T_{\lambda}}} \sigma , \quad C(T_{\lambda}) = \sum_{\tau \in C_{T_{\lambda}}} (\operatorname{sgn} \tau) \tau$$

and

$$e_{T_{\lambda}} = R(T_{\lambda})C(T_{\lambda}).$$

It is known that  $e_{T_{\lambda}}^2 = \alpha e_{T_{\lambda}}, 0 \neq \alpha \in \mathbb{Q}$ , and an irreducible  $FS_k$ -module M has the character  $\chi_{\lambda}$  if and only if  $e_{T_{\lambda}}M \neq 0$ . In particular, if M is an irreducible  $FS_k \times FS_{n-k}$ -submodule in  $P_{k,n-k}(L)$  with the character  $\chi_{\lambda,\mu}$  then M can be generated by a multilinear polynomial of the type  $e_{T_{\lambda}}\varphi(x_1, \ldots, x_k, y_1, \ldots, y_{n-k})$  with even  $x_1, \ldots, x_k$  and odd  $y_1, \ldots, y_{n-k}$  (since M is the direct sum of isomorphic irreducible  $S_k$ -modules with characters  $\chi_{\lambda}$ ). From the relation  $e_{T_{\lambda}}^2 = \alpha e_{T_{\lambda}} \neq 0$  it follows that the polynomial

$$\psi(x_1,\ldots,x_k,y_1,\ldots,y_{n-k})=C(T_{\lambda})e_{T_{\lambda}}\varphi(x_1,\ldots,x_k,y_1,\ldots,y_{n-k})$$

also generates M.

Suppose now that  $d > t^2 - 1$ . Then  $D_{\lambda}$  contains at least one column of height d greater than  $t^2 - 1 = \dim L_0$ . In this case  $\psi$  depends on at least one alternating set of even variables of order greater than dim  $L_0$ . Standard arguments show that in this case  $\psi$  is an identity of L, a contradiction. Hence  $d \le t^2 - 1$ . Now by [1, Lemma 6.2.5] there exists a polynomial  $\alpha(k)$  such that  $d_{\lambda} \le \alpha(k)(t^2 - 1)^k$  and we complete the proof.

## **Lemma 11** Let $m_{\lambda,\mu} \neq 0$ in Eq. 3. Then wt $\mu \leq 1$ .

*Proof* As in the previous lemma an irreducible  $FS_k \times FS_{n-k}$ -submodule M of  $P_{k,n-k}(L)$  with the character  $\chi_{\lambda,\mu}$  can be generated by

$$\psi = \psi(x_1, \dots, x_k, y_1, \dots, y_{n-k}) = C(T_{\mu})e_{T_{\mu}}\varphi$$

for some multilinear polynomial  $\varphi$ . The set of variables  $\{y_1, \ldots, y_{n-k}\}$  can be split into disjoint union

$$\{y_1,\ldots,y_{n-k}\}=Y_1\cup\ldots\cup Y_p$$

where  $p = \mu_1$ , every set  $Y_j$  consists of odd indeterminates with the indices from the *j*-th column of  $T_{\mu}$ . In particular,  $\psi$  is alternating on any subset  $Y_j$ ,  $1 \le j \le p$ , and we cannot substitute the same basis elements of L instead of distinct variables from the same column of  $T_{\mu}$ , otherwise the value of  $\psi$  will be zero. Hence the minimal degree in  $\mathbb{Z}$ -grading Eqs. 7, 8 and 9 of the value of  $\psi$  on L is equal to  $q = wt \mu$ . So, if q > 1 then  $\psi$  is an identity of L since  $L^{(q)} \oplus L^{(q+1)} \oplus \cdots = 0$ , a contradiction.

Now we are ready to prove the main result of the paper.

**Theorem 1** Let *L* be a Lie superalgebra of the type  $b(t), t \ge 2$ , over a field *F* of characteristic zero. Then there exists a polynomial h = h(n) such that

$$c_n^{gr}(L) \le h(n) \left(t^2 - 1 + t\sqrt{t^2 - 1}\right)^n$$
.

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In particular,

$$\overline{exp}^{gr}(L) \le t^2 - 1 + t\sqrt{t^2 - 1} < 2t^2 - 1 = \dim L.$$

*Proof* Consider the inequality (5) for  $c_{k,n-k}(L)$ . By Lemma 10,  $d_{\lambda}^{\max} \leq \alpha(k)(t^2 - 1)^k$  and by Lemma 11 we have  $wt \mu \leq 1$  where  $d_{\mu} = d_{\mu}^{\max}$ . Then by Lemmas 1 and 9,

$$d_{\mu}^{\max} \leq (n-k)g(n-k)\left(t\sqrt{t^2-1}\right)^{n-k}$$

Hence

$$c_{k,n-k}(L) \le f(n)(n-k)\alpha(k)g(n-k)(t^2-1)^k \left(t\sqrt{t^2-1}\right)^{n-k}$$

Clearly one can take a polynomial h' = h'(n) such that  $\alpha(k)g(n-k) \le h'(n)$  for all k = 0, ..., n. Then

$$c_{k,n-k}(L) \le h(n)(t^2-1)^k \left(t\sqrt{t^2-1}\right)^{n-k}$$

where h(n) = nf(n)h'(n). Now by Eq. 2

$$c_n^{gr}(L) \le h(n) \sum_{k=0}^n \binom{n}{k} (t^2 - 1)^k (t\sqrt{t^2 - 1})^{n-k} = h(n) (t^2 - 1 + t\sqrt{t^2 - 1})^n.$$

Obviously,

$$\overline{exp}^{gr}(L) = \limsup_{n \to \infty} \sqrt[n]{c_n^{gr}(L)} \le t^2 - 1 + t\sqrt{t^2 - 1}$$

and we complete the proof of Theorem 1.

As a consequence of Theorem 1 we get an upper bound for ordinary PI-exponent of  $L = b(t), t \ge 2$ .

**Theorem 2** Let *L* be a Lie superalgebra of the type L = b(t),  $t \ge 2$ , over a field of characteristic zero. Then  $exp(L) \le t^2 - 1 + t\sqrt{t^2 - 1}$ .

*Proof* The statement easily follows from Theorem 1, the inequality  $c_n^{gr} \le c_n(L)$  [7, 14] and from the existence of exp(L) [9].

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